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## Theory and Methodology

# Construction heuristics for the asymmetric TSP

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#### Abstract

Non-Euclidean traveling salesman problem (TSP) construction heuristics, and especially asymmetric TSP construction heuristics, have been neglected in the literature by comparison with the extensive efforts devoted to studying Euclidean TSP construction heuristics. This state of affairs is at odds with the fact that asymmetric models are relevant to a wider range of applications, and indeed are uniformly more general that symmetric models. Moreover, common construction approaches for the Euclidean TSP have been shown to produce poor quality solutions for non-Euclidean instances. Motivation for remedying this gap in the study of construction approaches is increased by the fact that such methods are a great deal faster than other TSP heuristics, which can be important for real time problems requiring continuously updated response. The purpose of this paper is to describe two new construction heuristics for the asymmetric TSP and a third heuristic based on combining the other two. Extensive computational experiments are performed for several different families of TSP instances, disclosing that our combined heuristic clearly outperforms well-known TSP construction methods and proves significantly more robust in obtaining (relatively) high quality solutions over a wide range of problems. © 2001 Elsevier Science B.V. All rights reserved.

Keywords: Traveling salesman; Heuristics; Construction heuristics

## 1. Introduction

A construction heuristic for the traveling salesman problem (TSP) builds a tour without an attempt to improve the tour once it is constructed. Most of the construction heuristics for the TSP [12,15] are very fast; they can be used to produce approximate solutions for the TSP when the time is restricted, to provide good initial solutions for tour improvement heuristics, to obtain upper bounds for exact branch-and-bound algorithms, etc.

Extensive research has been devoted to construction heuristics for the Euclidean TSP (see, e.g., [15]). Construction heuristics for the non-Euclidean TSP are much less investigated. Quite often, the Greedy algorithm (GR) is chosen as a

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construction heuristic for the non-Euclidean TSP (see, e.g., [12]). Our computational experiments show that this heuristic is far from being the best choice in terms of quality and robustness. Various insertion algorithms [15] which perform very well for the Euclidean TSP produce poor quality solutions for instances that are not (and not close to) Euclidean.

Hence, it is important to study construction heuristics for the asymmetric TSP (we understand by the asymmetric TSP the general TSP which includes both asymmetric and symmetric instances). Our aim is to describe two new construction heuristics for the asymmetric TSP as well as a combined algorithm based on those heuristics. In this paper we also present results of our computational experiments obtained for several different families of TSP instances. These results show that overall the combined algorithm clearly outperforms well-known construction heuristics for the TSP. While other heuristics produce good quality tours for some families of TSP instances and fail for some other families of instances, the combined algorithm appears much more robust. Being a heuristic the combined algorithm is not always a winner among various heuristics. However, we show that it obtains (relatively) poor quality solutions rather seldom.

For the reader interested in solving the TSP on particular families of non-Euclidean instances, this paper may suggest a construction algorithm, which is appropriate for the families under consideration.

#### 2. Terminology and notation

The vertex set of a weighted complete digraph K is denoted by V(K); the weight of an arc xy of K is denoted by  $w_K(x, y)$  (we say that K is *complete* if for every pair x, y of distinct vertices of K both xy and yx are arcs of K). The *length* of a cycle C (path P) is the number of arcs in C(P). The *asymmetric* traveling salesman problem is defined as follows: given a weighted complete digraph K on n vertices, find a Hamiltonian cycle (tour) H of K of minimum weight. The domination number of a tour T in K is the number of tours in K which are heavier or

of the same weight as T. A cycle factor of K is a collection of vertex-disjoint cycles in K covering all vertices of K. A cycle factor of K of minimum (total) weight can be found in time  $O(n^3)$  using assignment problem (AP) algorithms (for the corresponding weighted complete bipartite graph) [4,13,14]. Clearly, the weight of the lightest cycle factor of K provides a lower bound to the solution of the TSP (AP lower bound).

We will use the operation of *contraction* of a (directed) path  $P = v_1, v_2, \ldots, v_s$  of K. The result of this operation is a weighted complete digraph K/P with vertex set

$$V(K/P) = V(K) \cup \{p\} - \{v_1, v_2, \dots, v_s\},\$$

where *p* is a new vertex. The weight of an arc *xy* of K/P is

$$w_{K/P}(x,y) = \begin{cases} w_K(x,y) & \text{if } x \neq p \text{ and } y \neq p, \\ w_K(v_s,y) & \text{if } x = p \text{ and } y \neq p, \\ w_K(x,v_1) & \text{if } x \neq p \text{ and } y = p. \end{cases}$$
(1)

Sometimes, we contract an arc *a* considering *a* as a path (of length one).

#### 3. Greedy and random insertion heuristics

These two heuristics were used in order to compare our algorithms with well-known ones. Both heuristics are extensively used especially for the Euclidean TSP [12,15] since they give consistently good results for the Euclidean problem. Despite being the winner among some other construction heuristics for the (general) symmetric TSP, the GR algorithm produces rather poor solutions for this problem [12].

The GR algorithm finds the lightest arc *a* in *K* and contracts it (updating the weights according to (1)). The same procedure is recursively applied to the contracted digraph K := K/a till *K* consists of a pair of arcs. The contracted arcs and the pair of remaining arcs form the "Greedy" tour in *K*.

The random insertion heuristic chooses randomly two initial vertices  $i_1$  and  $i_2$  in K and forms the cycle  $i_1i_2i_1$ . Then, in every iteration, it chooses randomly a vertex  $\ell$  of K which is not in the current cycle  $i_1i_2, \ldots, i_si_1$  and inserts  $\ell$  in the cycle (i.e., replaces an arc  $i_mi_{m+1}$  of the cycle with the path  $i_m\ell i_{m+1}$ ) such that the weight of the cycle increases as little as possible. The heuristic stops when all vertices have been included in the current cycle.

### 4. Modified Karp–Steele patching heuristic

Our first heuristic (denoted by GKS) is based on the well-known Karp–Steele patching (KSP) heuristic [13,14]. The algorithm can be outlined as follows:

- 1. Construct a cycle factor F of minimum weight.
- 2. Choose a pair of arcs taken from different cycles in *F*, such that by patching (i.e. removing the chosen arcs and adding two other arcs that join both cycles together) we obtain a cycle factor (with one less cycle) of minimum weight (within the framework of patching).
- 3. Repeat Step 2 until the current cycle factor is reduced to a single cycle. Use this cycle as an approximate solution for the TSP.

The difference from the original KSP algorithm is that instead of joining two shortest cycles together it tries all possible pairs, using the best one.

Unfortunately, a straightforward implementation of this algorithm would be very inefficient in terms of execution time. To partly overcome this problem we introduced a pre-calculated  $n \times n$ matrix D of patching costs for all possible pairs of arcs. On every iteration we find a smallest element of D and perform corresponding patching; also, the matrix is updated to reflect the patching operation that took place. Having observed that only a relatively small part of D needs to be re-calculated during an iteration, we cache row minima of D in a separate vector B, incrementally updating it whenever possible. If it is impossible to update an element of *B* incrementally (this happens when the smallest item in a row of D has been changed to a greater value), we re-calculate this element of B by scanning the corresponding row of D in the beginning of the next iteration. Finally, instead of scanning all  $n^2$  elements of *D* in order to find its minimum, we just scan *n* elements of *B* to achieve the same goal.

Although the improved version has the same  $O(n^3)$  worst-case complexity as the original algorithm, our experiments show that the aforementioned improvements yield significant reduction of execution time. Pseudo-code for the approach outlined above is displayed in Fig. 1.

#### 5. Recursive path contraction algorithm

The second heuristic originates from [16]. The main feature of this algorithm is the fact that its solution has a large domination number. Heuristics yielding tours with exponential yet much smaller domination numbers were introduced in the literature on so-called exponential neighbourhoods for the TSP (for a comprehensive survey of the topic, see [5]). Exponential neighbourhood local search [6–8] has already shown its high computational potential for the TSP (see, e.g., [1,3]).

The algorithm (denoted by RPC) proceeds as follows:

- 1. Find a minimum weight cycle factor F.
- 2. Delete a heaviest arc of each cycle of *F* and contract the obtained paths one by one.
- 3. If the number of cycles is greater than one, apply this procedure recursively.
- 4. Finally, we obtain a single cycle *C*. Replace all vertices of *C* with the corresponding contracted paths and return the tour obtained as a result of this procedure.

Let  $c_i$  be the number of cycles in the *i*-th cycle factor (the first one is *F*) and let *m* be the number of cycle factors derived in RPC. Then one can show that the domination number of the tour constructed by RPC is at least  $(n - c_1 - 1)!$  $(c_1 - c_2 - 1)! \dots (c_{m-1} - c_m - 1)!$  [16]. This number is quite large when the number of cycles in cycle factors is small (which is often the case for pure asymmetric instances of the TSP). By *pure* asymmetric instances we mean instances for which  $w(i,j) \neq w(j,i)$  for all or almost all pairs  $i \neq j$ .

## Pseudo-code:

BestCost is D, BestNode is B Arguments: N - number of nodes  $W = [w(i, j)] - N \times N$  matrix of weights

(\* Next[i] = j if the current cycle factor contains the arc (i, j) \*) Next: array[1..N] of integer;

(\* Cost[i, j] is cost of removing arcs (i, Next[i]) and (j, Next[j]), and adding (i, Next[j]) and (j, Next[i]) instead. \*)

Cost:  $\operatorname{array}[1..N, 1..N]$  of integer;

(\* BestCost[i] contains a smallest value found in the *i*-th row of Cost \*) BestCost: array[1..N] of integer;

(\* BestNode[i] is column index of corresponding BestCost[i] in Cost \*) BestNode: array[1..N] of integer;

(\* Whenever possible, caches row minimum in *BestNode* and *BestCost* \*) procedure UpdateCost(r, c, newCost: integer); begin

if (r < c) then Swap(r, c); (\* Exchange r and c values \*)

```
Cost[r, c] := newCost;
```

```
if BestNode[r] ≠ -1 and newCost < BestCost[r] then
  (* New value is smaller than current row minimum *)
  BestNode[r] := c;
  BestCost[r] := newCost;
else if BestNode[r] = c and newCost > BestCost[r] then
  (* Current row minimum has been updated to a greater value *)
  BestNode[r] := -1; (* stands for "unknown" *)
  BestCost[r] := +∞;
end if;
```

end;

```
function GetPatchingCost(i, j: integer): integer;

begin

if vertices i and j belong to the same cycle then

return +\infty; (* patching not allowed *)

else

return W[i, Next[j]] + W[j, Next[i]] - W[i, Next[i]] - W[j, Next[j]];

end if;

end;

BEGIN
```

Build a cycle factor by solving LAP on the weights matrix D, store result in Next and number of cycles obtained in M;

Fig. 1. Pseudo-code.

```
(* Initialize Cost, BestCost and BestNode *)
for i := 1 to N do
    bn := 1;
    for j := 1 to i do
        Cost[i, j] := GetPatchingCost(i, j);
        if Cost[i, j] < Cost[i, bn] then bn := j;
    end for;
    BestNode[i] := bn;
    BestCost[i] := Cost[i, bn];</pre>
```

end do;

## repeat M - 1 times

Find the smallest value in BestCost and store its index in i; j := BestNode[i];

update Cost:

- for each pair of nodes k and l, such as k belongs to the same cycle as i, and l belongs to the same cycle as j: UpdateCost(k, l, +∞);
- 2) for each node m, which does not belong to the same cycle as either i or j: *UpdateCost(i,m,GetPatchingCost(i,m))*; *UpdateCost(m,j,GetPatchingCost(m,j))*;

Patch two cycles by removing arcs (i, Next[i]), (j, Next[j]), and adding (i, Next[j]) and (j, Next[i]); update Next to reflect the patching operation;

```
(* re-calculate BestNode and BestCost if necessary *)
for i := 1 to N do
    if BestNode[i] = -1 then
        (* Needs re-calculating *)
        bn := 1;
    for j := 1 to i do
        if Cost[i, j] < Cost[i, bn] then bn := j;
    end for;
    BestNode[i] := bn;
        BestCost[i] := Cost[i, bn];
    end if;
end for;</pre>
```

end repeat;

END.

Fig. 1. (Continued).

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## 6. Contract or patch heuristic

The third heuristic (denoted by COP – contract or patch) is a combination of the last two algorithms. It proceeds as follows:

- 1. Fix a threshold *t*.
- 2. Find a minimum weight cycle factor F.
- 3. If there is a cycle in *F* of length at most *t*, delete a heaviest arc in every short cycle (i.e. of length at most *t*) and contract the obtained paths (the vertices of the long cycles are not involved in the contraction) and repeat the above procedure. Otherwise, patch all cycles (they are all long) using GKS.

Our computational experiments (see the next section) showed that t = 5 yields a quite robust choice of the threshold t. Therefore, this value of t has been used while comparing COP with other heuristics.

#### 7. Computational results

We have implemented all three heuristics along with KSP, the GR algorithm and the random insertion algorithm (RI), and tested them on the following seven families of instances of the TSP:

- 1. all asymmetric TSP instances from TSPLib;
- 2. all Euclidean TSP instances from TSPLib with the number of vertices not exceeding 3000;
- 3. asymmetric TSP instances with weights matrix W = [w(i, j)], with w(i, j) independently and uniformly chosen random numbers from  $\{0, 1, 2, ..., 10^5\}$ ;
- 4. asymmetric TSP instances with weights matrix W = [w(i, j)], with w(i, j) independently and uniformly chosen random numbers from  $\{0, 1, 2, \dots, i \times j\}$ ;
- 5. symmetric TSP instances with weights matrix W = [w(i, j)], with w(i, j) independently and uniformly chosen random numbers from  $\{0, 1, 2, ..., 10^5\}$  (i < j);
- 6. symmetric TSP instances with weights matrix W = [w(i, j)], with w(i, j) independently and uniformly chosen random numbers from  $\{0, 1, 2, \dots, i \times j\}$  (i < j);
- 7. sloped plane instances [11]. These are defined as follows: for a given pair of vertices  $p_i$  and  $p_j$ , de-

fined by their planar coordinates  $p_i = (x_i, y_i)$ and  $p_j = (x_j, y_j)$ , the weight of the corresponding arc is  $w(i, j) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2} - \max(0, y_i - y_j) + 2 \times \max(0, y_j - y_i)$ . We have tested the algorithms on sloped plane instances with independently and uniformly chosen random coordinates from  $\{0, 1, 2, ..., 10^5\}$ .

For the families 3–7, the number of vertices *n* was varied from 100 to 3000, in increments of 100. For  $100 \le n \le 1000$ , all results are average over 10 trials each, and for  $1000 < n \le 3000$ , the results are average over three trials each.

The number and variety of the families used allows us to check robustness of tested algorithms [11]. We use instances produced in both random and deterministic manner. It is perhaps worth recalling that this paper deals only with construction heuristics for the TSP. Thus, we cannot expect near optimal solutions for the majority of instances.

All tests were executed on a Pentium II 333 MHz machine with 128MB of RAM. All results for TSPLib instances are compared to optima. For the asymmetric instance families 3, 4 and 7 we used AP lower bound, the weight of the lightest cycle factor. The AP lower bound is known to be of high quality for the pure asymmetric TSP [2]. For the symmetric instance families 5 and 6, we exploited the Held–Karp (HK) lower bound [9,10], which is known to be very effective for this type of TSP instances [12].

Table 1 provides an overview of the quality of the results obtained. Observe that COP is the only heuristic from the above six that performs well (relatively to the other heuristics) on all tested families. All other heuristics fail on at least one of the families. Compared to the other algorithms, GR is good only for the Euclidean instances. RI, KSP and GKS provide good results for all families apart from the two random symmetric families, where all produce tours of rather low quality. RPC is quite stable, but almost always gives solutions of relatively low quality.

It is worth mentioning that while there are some good construction heuristics for the pure asymmetric TSP, the best example of which is KSP [14,17], the symmetric non-Euclidean TSP appears much more difficult for the existing construction Table 1

Average excess over optimum, AP or HK lower bound

Class	Number	GR	RI	KSP	GKS	RPC	COP
	of instances	(%)	(%)	(%)	(%)	(%)	(%)
Asymmetric (TSPLib)	26	30.62	17.36	4.29	3.36	18.02	4.77
Euclidean (TSPLib)	69	18.29	11.55	14.93	17.25	36.72	17.50
Random asymmetric (distances from [1, 10 <sup>5</sup> ])	160	320.13	1467.38	3.11	3.09	106.65	1.88
Random asymmetric (distances from $[1, I * J]$ )	160	515.10	1369.13	2.06	2.02	146.73	1.11
Random symmetric (distances from [1, 10 <sup>5</sup> ])	160	177.41	1178.77	574.90	453.18	124.27	46.60
Random symmetric (distances from $[1, I * J]$ )	160	799.75	890.70	302.04	161.39	209.55	41.90
Random sloped plane	160	2201.19	41.78	44.20	46.33	72.17	47.29

heuristics. This difficulty is reflected in our computational results. While COP certainly narrows the gap between approximate solutions and the HK lower bound, this gap still remains wide.

All of the tested algorithms except GR and RI start by solving the AP in order to find a minimum weight cycle factor, and then apply various patching techniques to transform the cycle factor into a tour. In our view, the difficulty with the symmetric non-Euclidean TSP for these algorithms stems from the fact that the AP lower bound is far from being sharp for this type of instances. For the vast majority of symmetric instances under consideration, the AP lower bound produces a cycle factor consisting of a large number of short (in the number of vertices) cycles. This makes patching rather ineffective. Two remaining algorithms, GR and RI, also fail

 Table 2

 TSPLIB Euclidean instances: Excess over optimum

Name	Size	GR (%)	RI (%)	KSP (%)	GKS (%)	RPC (%)	COP (%)	
u574	574	19.19	11.46	16.82	20.05	36.90	13.56	
rat575	575	12.46	12.30	16.20	16.79	38.80	19.53	
p654	654	17.59	6.17	11.34	15.86	48.54	21.65	
d657	657	16.90	11.27	18.78	17.94	35.60	15.58	
u724	724	18.12	12.80	15.02	18.31	43.70	16.31	
rat783	783	15.34	11.86	20.89	16.49	37.80	20.13	
pr1002	1002	13.45	14.40	16.90	17.82	31.34	16.02	
u1060	1060	18.18	12.50	18.45	20.30	36.42	15.92	
vm1084	1084	22.55	13.06	18.56	22.53	46.68	21.12	
pcb1173	1173	17.36	17.32	21.08	20.47	39.70	21.22	
d1291	1291	24.14	20.69	19.38	17.94	42.52	27.61	
r11304	1304	12.10	20.01	23.16	31.30	50.06	29.01	
r11323	1323	13.78	18.37	21.30	30.29	50.65	29.33	
nrw1379	1379	15.60	13.15	16.91	14.36	35.45	17.82	
fl1400	1400	18.35	5.85	9.81	14.40	32.73	18.47	
u1432	1432	21.61	12.90	13.48	10.15	31.37	13.41	
fl1577	1577	16.02	18.66	18.76	26.16	34.42	22.46	
d1655	1655	16.34	17.79	20.58	18.58	38.52	18.26	
vm1748	1748	18.10	12.39	18.02	21.50	44.80	21.36	
u1817	1817	19.03	18.99	22.91	15.19	38.15	21.94	
rl1889	1889	16.59	19.33	21.12	27.82	52.25	26.92	
d2103	2103	12.12	22.28	12.34	5.92	26.29	10.22	
u2152	2152	17.33	17.40	22.71	15.82	36.69	19.14	
u2319	2319	16.41	7.28	4.99	3.81	22.63	6.27	
pr2392	2392	21.30	16.73	21.08	22.26	27.76	6.69	

on these instances, but perhaps for a different reason. This suggests a need for further study of construction heuristics for the symmetric non-Euclidean TSP.

Tables 2 and 3 show the results obtained for the families 1 and 2. For the family 2, only instances of size between 500 and 3000 vertices are presented due the large total number of Euclidean instances in TSPLib (note that the values presented in Table 1 reflect all Euclidean TSPLib instances of not more than 3000 vertices).

Figs. 2–11 show our results in a more detailed form for all families except for the families 1 and 2. In Figs. 2 and 4, we present only the results for KSP, GKS and COP as they are clear winners for the families 3 and 4. For both families the tours produced by COP are almost always better than those by all other tested heuristics. Note that the results of KSP and GKS are very similar for these two families of instances. This suggests that for certain classes of instances the use of GKS instead

Table 3 TSPLIB asymmetric instances: Excess over optimum

of KSP is not justified. Notice that GKS requires significantly more involved programming than KSP.

Figs. 6 and 8 contain our results on the families 5 and 6 for all heuristics. For the families 5 and 6 COP is again a clear winner. Taking into consideration both families, RPC produces results, which are relatively better or not much worse than those of the others (apart from the tours of COP). Interestingly enough GR behaves worse on the families 4 and 6 than on the families 3 and 5. This justifies partially our use of families 4 and 6 together with the families 3 and 5.

The quality of results for the family 7 is shown in Fig. 10. Being a very good heuristic for the Euclidean TSP, RI is the winner among our heuristics on the family 7, which consists of asymmetric instances somewhat similar to Euclidean instances. While GR is hopeless (and therefore not presented on the chart), and RPC behaves not particularly well on the family 7, KSP, GKS and

Name	Size	GR (%)	RI (%)	KSP (%)	GKS (%)	RPC (%)	COP (%)
br17	17	102.56	0.00	0.00	0.00	0.00	0.00
p43	43	3.59	0.30	0.11	0.32	0.66	0.68
ry48p	48	32.55	11.66	7.23	4.52	29.50	7.97
ft53	53	80.84	24.82	12.99	12.31	18.64	15.68
ft70	70	14.84	9.32	1.88	2.84	5.89	1.90
ftv33	34	31.34	11.82	13.14	8.09	21.62	9.49
ftv35	36	24.37	9.37	1.56	1.09	21.18	1.56
ftv38	39	14.84	10.20	1.50	1.05	25.69	3.59
ftv44	45	18.78	14.07	7.69	5.33	22.26	10.66
ftv47	48	11.88	12.16	3.04	1.69	28.72	8.73
ftv55	56	25.93	15.30	3.05	3.05	33.27	4.79
ftv64	65	25.77	18.49	3.81	2.61	29.09	1.96
ftv70	71	31.85	16.15	3.33	2.87	22.77	1.85
ftv100	101	43.40	24.22	3.52	5.31	29.75	7.72
ftv110	111	38.61	12.46	5.41	5.67	21.35	6.89
ftv120	121	44.60	25.62	7.62	5.12	19.25	6.51
ftv130	131	49.15	27.13	5.46	4.90	17.34	5.59
ftv140	141	45.91	24.17	4.46	4.67	23.84	5.99
ftv150	151	47.53	22.75	4.75	4.33	22.56	6.70
ftv160	161	36.64	28.62	1.71	1.49	22.77	2.87
ftv170	171	32.05	28.97	2.40	1.38	25.66	3.59
kro124p	100	21.01	12.17	16.95	8.69	23.06	8.79
rbg323	323	8.52	29.34	0.00	0.00	0.53	0.00
rbg358	358	7.74	42.48	0.00	0.00	2.32	0.26
rbg403	403	0.85	9.17	0.00	0.00	0.69	0.20
rbg443	443	0.92	10.48	0.00	0.00	0.00	0.00



Fig. 2. Random asymmetric instances (0..10<sup>5</sup>): Excess over AP lower bound.



Fig. 3. Random asymmetric instances (0..10<sup>5</sup>): Execution time (s).



Fig. 5. Random asymmetric instances (0.i \* j): Execution time (s).

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Fig. 6. Random symmetric instances (0..105): Excess over Held-Karp lower bound.



Fig. 7. Random symmetric instances (0..10<sup>5</sup>): Execution time (s).



Fig. 8. Random symmetric instances (0..i \* j): Excess over Held–Karp lower bound.



Fig. 9. Random symmetric instances (0..i \* j): Execution time (s).



Fig. 11. Random sloped plane instances: Execution time (s).

COP provide tours whose quality is close to that produced by RI, especially when the size of the instance increases.

Apart from being quite effective, COP is also comparable to the GR algorithm with respect to the execution time, see Figs. 3, 5, 7, 9 and 11 for details. RI is clearly the fastest heuristic, but its use should be restricted to Euclidean and close to Euclidean instances as we have seen above.

## 8. Conclusions

The results of our computational experiments show clearly that our combined algorithm COP can be used for wide variety of the TSP instances as a fast heuristic of relatively good quality. It also demonstrates that theoretical investigation of algorithms that produce solutions of exponential domination number can be used in practice to design effective and efficient construction heuristics for the TSP. Further study of construction heuristics for the symmetric non-Euclidean TSP is suggested.

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